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PTO/SB/05 (06-03)

7/17/2003

Approved for use through 07/31/2003. OMB 0651-0032 U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE

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### UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.	62291.010401
First Inventor	William Lawrence Lyons, IV
Title	SPECIMEN COLLECTION INSTRUMENT WI
5 44 77 4 4 44	EV 162218580 US

(Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. Mail Stop Patent Application APPLICATION ELEMENTS Commissioner for Patents ADDRESS TO: P.O. Box 1450 See MPEP chapter 600 concerning utility patent application contents. Alexandria VA 22313-1450 Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or (Submit an original and a duplicate for fee processing) Computer Program (Appendix) 2. X Nucleotide and/or Amino Acid Sequence Submission Applicant claims small entity status. See 37 CFR 1.27. (if applicable, all necessary) [Total Pages 23 3. 😧 Specification Computer Reader Form (CRF) (preferred arrangement set forth below) Descriptive title of the invention Specification Sequence Listing on: Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D CD-ROM or CD-R (2 copies); or Reference to sequence listing, a table, or a computer program listing appendix
 Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) Statements verifying identity of above copies - Detailed Description ACCOMPANYING APPLICATION PARTS - Claim(s) - Abstract of the Disclosure Assignment Papers (cover sheet & document(s)) 4. X Drawing(s) (35 U.S.C. 113) | Total Sheets 10 Power of 37 CFR 3.73(b) Statement 10. T (when there is an assignee) Attorney 5. Oath or Declaration [Total Sheets 11. English Translation Document (if applicable) a. Newly executed (original or copy) Copies of IDS 12. Information Disclosure Statement (IDS)/PTO-1449 Citations b. Copy from a prior application (37 CFR 1.63(d)) Preliminary Amendment
Return Receipt Postcard (MPEP 503) 13. L (for continuation/divisional with Box 18 completed) 14. X (Should be specifically itemized) **DELETION OF INVENTOR(S)** Certified Copy of Priority Document(s) Signed statement attached deleting inventor(s) (if foreign priority is claimed) name in the prior application, see 37 CFR 16. 🗓 Nonpublication Request under 35 U.S.C. 122 1.63(d)(2) and 1.33(b). (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 6. 🔲 Application Data Sheet. See 37 CFR 1.76 Other: ..... 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: Continuation-in-part (CIP) Continuation \_\_\_\_ Divisional of prior application No.: ..... Prior application information: Examiner Art Unit: For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The Incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS Customer Number: 32361 Correspondence address below Name Address City State Zip Code Country Telephone Fax Name (Print/Type) Registration No. (Attorney/Agent) | 32,938 Barry J. Schindler Signature

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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# **TRANSMITTAL** for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

Barry J. Schindler

Name (Print/Type)

Signature

TOTAL AMOUNT OF PAYMENT

(\$) 528

espond to a conection of information diffess it displays a valid ONB control number.						
Complete if Known						
Application Number	To Be Assigned					
Filing Date	January 15, 2003					
First Named Inventor	William Lawrence Lyons, IV					
Examiner Name	To Be Assigned					
Art Unit	To Be Assigned					
Attorney Docket No.	62291.010401					

METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)						
Check Credit card Money Other None				3. ADDITIONAL FEES					
Deposit Account:		<u>Large</u>	Entity	Small	Entity				
Deposit Account	501561			Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Number				1051	130	2051	65	Surcharge - late filing fee or oath	
Deposit Account Name	GREENB	ERG TRAURIG		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
	oner is author	ized to: (check all that ap	oply)	1053	130	1053		Non-English specification	
Charge fee(s) indicated below Credit any overpayments		1812	2,520	1812	2,520	For filing a request for ex parte reexamination			
Charge any	additional fee(s	s) during the pendency of	this application	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.			1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		
10 110 100 10	<del></del>	ALCULATION		1251	110	2251	55	Extension for reply within first month	
1. BASIC FI		ALCOLATION		1252	410	2252	205	Extension for reply within second month	
Large Entity S				1253	930	2253	465	Extension for reply within third month	
Fee Fee F	•	Fee Description	Fee Paid	1254	1,450	2254	725	Extension for reply within fourth month	
· · · /	2001 375	Utility filing fee	1075	1255	1,970	2255	985	Extension for reply within fifth month	
1002 330 2	2002 165	Design filing fee	375	1401	320	2401	160	Notice of Appeal	
1003 520 2	2003 260	Plant filing fee		1402	320	2402	160	Filing a brief in support of an appeal	
1004 750 2	2004 375	Reissue filing fee		1403	280	2403	140	Request for oral hearing	
1005 160	2005 80	Provisional filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 375		1452	110	2452	55	Petition to revive - unavoidable			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		1453	1,300	2453	650	Petition to revive - unintentional			
Z. EXIKA C	LAIM FEES	Fee fro	om	1501	1,300	2501	650	Utility issue fee (or reissue)	
Total Claims	37 -20*	Extra Claims below	Fee Paid	1502	470	2502		Design issue fee	
Total Claims $37$ $-20^{**} = 17$ $\times 9$ $= 153$ Independent $2$ $-3^{**} = 0$ $\times 0$ $= 0$		1503	630	2503		Plant issue fee			
Claims Multiple Depen		- 0 ^ 0	1 = 0	1460	130	1460	130	Petitions to the Commissioner	
· ' '		LU	J 74	1807	50	1807	7 50	Processing fee under 37 CFR 1.17(q)	
Large Entity   Fee Fee	Small Entity Fee Fee	Fee Description		1806	180	1806		Submission of Information Disclosure Stmt	
Code (\$)	Code (\$)			8021	40	8021	1 40	Recording each patent assignment per property (times number of properties)	
1202 18	2202 9			1809	750	2809	375	Filing a submission after final rejection	
1201 84	2201 42	•						(37 ČFR 1.129(a))	
1203 280	2203 140	• •	•	1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1204 84	2204 42	** Reissue independer over original patent	ii ciaims	1801	750	2801	375	Request for Continued Examination (RCE)	
1205 18	2205 9	** Reissue claims in ex and over original pat		1802	900	1802	900	Request for expedited examination of a design application	
SUBTOTAL (2) (\$) 153			Other	fee (sp	ecify) _				
**or number previously paid, if greater; For Reissues, see above			*Redu	ced by	Basic I	Filing F	ee Paid SUBTOTAL (3) (\$)		
	SUBMITTED BY (Complete (if applicable)								

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

Registration No.

(Attorney/Agent)

32,938

Telephone 212-801-2244

Date

7/17/2003



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

William Lawrence Lyons, IV

SERIAL NO.:

To Be Assigned July 17, 2003

FILED: ATTY. DOCKET:

62291.010401

TITLE:

SPECIMEN COLLECTION INSTRUMENT WITH

**INFLATABLE BAG** 

#### **EXPRESS MAIL CERTIFICATE**

Express Mail Label No. EV 162218589 US

Date of Deposit: July 17, 2003

I hereby certify that the following attached paper(s) and/or fee

- (1) Utility Patent Application Transmittal;
- (2) Fee Calculation sheet;
- (3) Patent Application (17 pgs of specs; 5 pgs. Claims; 1 abstract; 10 pg. Drawings; Total 33 pgs)
- (4) Authorization to Deduct the amount of \$528.00 from the deposit account 501561;
- (5) Non-Publication Request form;
- (6) A self-addressed stamped postcard, return of which is requested to acknowledge receipt of the enclosed documents.

are being deposited with the United States Postal Service Express Mail Post Office to Addressee service under 37 C.F.R. Section 1.10 on the date indicated above and is addressed to Mail Stop New Patent Application; Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,

GREENBERG TRAURIG, LLP

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Dated: July 17, 2003

Randi Flamenbaum Legal Assistant

**CORRESPONDENCE:** 

Barry J. Schindler, Esq. **GREENBERG TRAURIG, LLP**885 Third Avenue, 21<sup>st</sup> Floor

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## NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor		William Lawrence Lyons, IV	
Title	SPECIMEN COLLECTION INSTRUMENT WITH INFLATABLE BAG		
Atty D	ocket Number	62291.010401	

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

July 17, 2003

Date

o.g..atart

Barry J. Schindler

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.